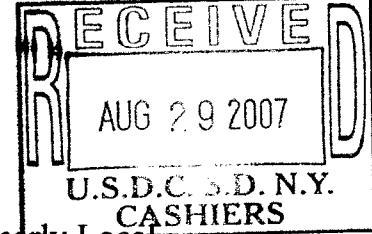


JUDGE SULLIVANUNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORKJohn J. Fiero and Fiero Brothers, Inc.,
Plaintiffs

-v-

National Association of Securities
Dealers, Inc.,
Defendant**07 CIV 7679**

Case No.

Rule 7.1 Statement

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Fiero Brothers, Inc. (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

None

Date: August 29, 2007


Signature of Attorney
Brian D. Graifman
Attorney Bar Code: BG5636